

Korhorn Financial Group Privacy Policy

Last Updated: [October, 2025]

We at **Korhorn Financial Group** (“KFG,” “we,” “us,” or “our”) value the trust our clients place in us. Protecting your personal information is central to our relationship with you. This Privacy Policy explains how we collect, use, share, and protect the information you provide when engaging in our financial, insurance, and tax-related services, and when you visit our website.

Scope of this Privacy Policy

This Privacy Policy applies to **KFG Wealth Management, LLC**, an SEC-registered investment adviser; **KFG Insurance Agency, LLC**, a life, health, property and casualty insurance agency; and **KFG Tax and Business Services, LLC**, which provides tax preparation, payroll, and accounting services. These entities are wholly owned subsidiaries of **Korhorn Financial Group, Inc.** and may share information as permitted by law to deliver an integrated client experience.

The policy also covers interactions with unaffiliated service providers that assist us—such as custodians, broker-dealers, technology providers, and data processors—when they act on our behalf. This Privacy Policy does not apply to independent websites or services that may be linked from ours; those are subject to their own privacy statements.

Information We Collect

We collect personal information directly from you when you open accounts, request quotes, prepare tax returns, or otherwise engage our services. This may include your name, contact and identification details, Social Security number, date of birth, financial and employment data, insurance information, income and tax details, and—in limited cases—medical or health information for insurance purposes.

We also receive information from third parties such as custodians, plan sponsors, broker-dealers, accountants, or other service providers, as necessary to administer your accounts or to comply with law. Information about your visits to our website may be collected automatically through cookies or similar technologies, which help us improve site performance and security.

How We Use and Disclose Your Information

We use personal information to provide financial planning, investment, insurance, and tax services; maintain and service your accounts; process transactions; communicate with you; and meet our legal and regulatory duties.

We may share information with affiliated KFG entities to coordinate services and with unaffiliated third parties acting on our behalf, such as custodians, broker-dealers, mutual-fund companies, insurers, technology partners, or compliance consultants. These parties are expected to safeguard your information and use it only for the purposes we specify.

We may also disclose information when required by law—such as to regulators, self-regulatory organizations, or courts—or when you authorize the disclosure. We do not sell your personal information to third-party marketers.

Data Retention

KFG maintains client records in accordance with federal, state, and regulatory requirements, including SEC rules, IRS regulations, and state insurance recordkeeping rules. Certain laws specify minimum time periods for retaining business, financial, and tax information.

We securely retain all client data beyond those minimum periods to protect client interests, respond to regulatory requests, and support continuity of service

Data Security

We employ administrative, technical, and physical safeguards that meet or exceed federal standards to protect your information against unauthorized access, disclosure, alteration, or destruction. Examples include secure networks, encryption, access controls, and employee training on confidentiality obligations.

We conduct periodic risk assessments and vendor reviews to help ensure that our security practices remain effective as technology and regulatory expectations evolve. If an incident occurs that compromises your information, we will investigate and notify you consistent with applicable federal or state law.

Third-Party Websites or Applications



Our website may contain links to external sites or applications operated by third parties. These are provided for your convenience only. KFG does not control those websites and is not responsible for their privacy or security practices. We encourage you to review the privacy statements of any site you visit before providing personal information.

Marketing Communications

We may use your contact information to send educational materials, newsletters, or invitations to KFG events that we believe may be relevant to your financial objectives. You can opt out of receiving promotional-type communications at any time by following the unsubscribe instructions included in the message or by contacting us. Opting out will not affect service-related or legally required communications.

Contact Us

Questions about this Privacy Policy or our data practices can be directed to our **Chief Compliance Officer** at:

Korhorn Financial Group
6910 N. Main Street, Bldg. 16, Unit 41
Granger, IN 46530
 (574) 247-5898  info@korhorn.com

In Summary: We collect and use your information solely to serve you and meet our legal obligations. We do not sell your data. We protect it through layered safeguards, cooperate with trusted providers under confidentiality duty, and remain committed to respecting your privacy at every stage of our relationship.